

APPENDIX A

AUDIT COMMITTEE: RECORD OF ACTION TAKEN

MUNICIPAL YEAR: MAY 2023 - APRIL 2024

DATE OF MEETING	AGENDA ITEM	AGREED ACTION	OFFICER RESPONSIBLE	DUE DATE	CURRENT STATUS/ACTION UPDATE
27 November 2023					
11 September 2023 139	Item 7. Risk Management Framework	The Head of the Corporate Delivery Unit to feedback comments from the Committee to the Corporate Leadership Team and provide committee with an updated risk management report at a future meeting.	Ray Hooke	January 2024	November 2023 update: By way of an update a briefing note and updated Strategic Risk Register, signed off by the Corporate Leadership Team (CLT) was circulated in advance of the meeting.
	Item 15. Work Programme	Democratic and Constitutional Services Manager would discuss with the Head of Commercial & Procurement for a standing item to be included on the Work Programme to provide Members with a list of large contracts.	Dan Kalley / Richard McCarthy	January 2024	

Outstanding Actions

DATE OF MEETING	AGENDA ITEM	AGREED ACTION	OFFICER RESPONSIBLE	DUE DATE	CURRENT STATUS / ACTION UPDATE
24 July 2023	Item 6. Verbal Update EY	Officers to liaise with EY to start the audit for the 2021/22 accounts as soon as possible and to present a timeline for action.	Jill Evans / Cecilie Booth	Ongoing	November 2023 Update: A briefing note from EY will be circulated in advance of the November 2023 meeting.
140	Item 8. Annual report (Fraud and Investigations)	Officers to benchmark against other local authorities in relation to data around fraud and investigations and circulate briefing note.	Steve Crabtree	November 2023	7 November 2023: Questionnaire circulated to other authorities. Awaiting return of information so that appropriate comparisons can be.
30 January 2023	Item 4. Actions Arising	Councillor Coles to address the Overview and Scrutiny Committee at the Combined Authority to get clarity over whether the Council or the Combined Authority received a refund from Stagecoach for a cancelled	Charlotte Palmer	TBC	Note to be sent round to all Audit members

		bus journey that was subsidised by the Council.			
28 November 2022	Item 7. Treasury Management Report – Mid Year Report	Officers to quantify the risks around financing and the life of assets. This would enable the Council to have in its sight the risks around the gap in the lifetime of debts versus assets.	Jill Evans / Jeremy Harrison / Cecilie Booth	July 2023 moved to September 2023, to be presented November 2023	November 2023 Update: A detailed review of MRP is being undertaken and this will form part of that and be reflected in the Treasury Management Strategy in February.
25 July 2022	Item 9. Review of the Effectiveness of the Audit Committee	The Chair of the Audit Committee to take on responsibility for the approach to the Annual Review of the Effectiveness of Audit Committee going beyond the checklist in the CIPFA “Toolkit for Local Authority Audit Committees”	Audit Committee Chair / Steve Crabtree	November 2023	7 November 2023: Changes to Committee Chair have delayed progression. Questionnaire to be issued to membership based on current toolkit following the November meeting (3 rd of municipal year), as well as the new Terms of Reference (once approved). (See below)
		Update the Terms of Reference for the Audit Committee to reflect the latest (draft) CIPFA Guidance and implement the necessary changes to the work programme to reflect the updated ToR	Adesuwa Omoregie / Democratic Services / Committee	July 2023 moved to November 2023	The Terms of Reference update will form part of the overall constitution and governance review. Aim for November 2023.

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