

Audit and Governance Committee Decision Summary

Meeting: 11 March 2022

Agenda/Minutes: [Audit and Governance Committee – 11 March 2022](#)

Chair: John Pye (Chair and Independent Person)

Summary of decisions taken at this meeting

Item	Topic	Decision <i>[None of the decisions below are key decisions]</i>
1	Apologies and Declarations of Interests	Apologies were received from Cllr Mason, substituted by Cllr Fane. No disclosable interests were declared.
2	Chair's Announcements	There were no announcements from the Chair
3	Minutes of the Previous Meeting and Action Notes	A query was raised regarding para 3.2 of the minutes and whether the affordable housing programme was a result of the Housing Infrastructure Fund rather than the original devolution deal. Officers agreed to check and confirm this. It was resolved that the minutes from the meeting held on 28 January 2022, subject to any amendment as outlined above, be approved. The Actions from the previous meeting were noted.
4	Combined Authority Update	The Committee received a verbal update from the Chief Executive Officer for the Combined Authority, Eileen Milner.
5	Corporate Risk Register	<u>RESOLVED:</u> That the Committee note the report.

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6	Internal Audit Progress Report	<p>The Committee received the report from the Internal Auditor, Dan Harris (RSM) which provided an update on the progress being made against the internal audit plan for 2021/22.</p> <p><u>RESOLVED:</u></p> <p>That</p> <ol style="list-style-type: none"> 1. The Audit Report on the One Cam closure be referred to the CA Board. 2. The progress reports.
7	Internal Audit – Audit Plan 22/23	<p>The Internal Auditor, Dan Harris (RSM) introduced the report the purpose of which was for the Committee to consider themes for internal audit coverage for 2022/23 to inform the development of the Combined Authority's audit plan.</p> <p><u>RESOLVED:</u></p> <ol style="list-style-type: none"> 1. That the Committee note the report. 2. That the 2022/23 internal audit of data protection could commence in Quarter 1. 3. That the proposed 22/23 Internal Audit Programme would be brought before the Committee at their meeting in June, allowing time for the revised risk register to be taken into account.
8.	External Audit – Annual Audit Report	<p>The External Auditor, Mark Hodgson (Ernst & Young) introduced the report. The report replaced the Annual Audit Letter that the Committee had received in the past.</p> <p><u>RESOLVED:</u></p> <p>That the Committee receive and note the Audit Results report for 2020/21</p>

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9.	Assurance Framework	<p>The Committee received the report from Reena Roojam, Lawyer.</p> <p><u>RESOLVED:</u></p> <ol style="list-style-type: none"> 1. That the amended draft of the Local Assurance Framework be approved and recommended to the Combined Authority Board. 2. That it be noted that the Local Assurance Framework would be presented to the Business Board on 14 March 2022.
10.	Review of Governance and Ways of Working	<p>The Committee received the report from the Interim Head of Governance which provided the Committee with an overview of the purpose of the review of governance and ways of working</p> <p><u>RESOLVED:</u></p> <p>That the Committee note the progress made in the review since January 2022.</p>
11.	Terms of Reference – Climate Change Working Group	<p>The Committee received the report from Adrian Cannard, Strategic Planning Manager.</p> <p><u>RESOLVED:</u></p> <p>That the Committee note the report.</p>
12.	Financial Strategies	<p>Robert Emery, Chief Accountant and Deputy S73 Officer introduced the report the purpose of which was for the Committee to review and comment on the proposed Investment Strategy for 2022/23 and to review the in-year actual performance to 31st January 2022 against the prudential indicators included within the Treasury Management and Capital Strategies.</p> <p><u>RESOLVED:</u></p>

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		That the Committee note the report
13.	Information Governance Update	<p>Susan Hall, Governance Officer introduced the report which updated the Committee on the current position with regards to Information Governance.</p> <p><u>RESOLVED:</u></p> <p>That the Committee note the report</p>
14.	Draft Annual Report	<p>The Chair of the Committee introduced the report and asked for comments. Councillor Bull commented that although a committee member he still felt somewhat detached from the CPCA. The Chair agreed that because of the nature of the CA it could sometimes be difficult for Members to fully engage with the organisation, and he would add an extra point into the report to encapsulate this.</p> <p><u>RESOLVED:</u></p> <p>That the draft report, subject to the changes identified above, be agreed</p>
15	Work Programme	<p>The Committee received and noted the work programme with the below additions being agreed.</p> <p>That an offline briefing session on the new risk register be organised for the Committee prior to its formal presentation at the meeting in June.</p> <p>That a development session on Financial Strategies be arranged for the Committee in the next municipal year.</p>
16	Date of next meeting	The Committee would next meet on Friday, 10 June 2022 at 10:00 Venue to be confirmed.