

Risk Assessment

RAYG Status	Last Update	Risk Title	Risk Description	Financial Impact	Financial Impact Value (£)	Mitigating Action	Mitigating Action Cost (£)	Likelihood	Impact	Over Risk Appetite?	Risk Type	Trend	Corporate Risk	Risk Owners
People and Communities ■ 6 ■ 3 ■ 0														
PR002417 : People and Communities Departmental Risk Register ■ 6 ■ 3 ■ 0														
20	03/07/18	Impact of social demographic change	<p>There is a risk that an ageing population, increased birth rate, migration and disability will result in insufficient capacity to support specialist services. Services that could be affected include foster carers, adoption placements, special school places, residential nursing places and domiciliary care capacity.</p> <p>The consequence is significant additional financial costs to support need within the independent sector.</p>	Yes		<p>1) Finalisation and implementation of Prevention, Early Help and Demand Management Strategy including workforce reform, development of community capacity and resilience and Customer Experience Programme.</p> <p>2) SEN strategy. Adult Social Care enablement and prevention models to be implemented. CWD work programme includes a prevention work stream.</p> <p>3) Recruit alternatively qualified staff to support social workers, improved retention package</p> <p>4) Delivery of the demand management programmes - specifically the Front Door, Health and Social Care Integration and Home Services Delivery Vehicle</p> <p>5) Development and delivery of tangible community focussed preventative programmes.</p>		4	5	Yes	Technical / Operational / Infrastructure	Steady	Yes	Bill Pickbourn
20	03/07/18	Meeting demand for School Places	<p>There is a risk that the authority will fail to meet its legal requirement for every child of statutory school age to access a school place and within a 'reasonable' distance from their home (less than 2 miles for 4 to 8 year olds and up to 3 miles for 9 to 16 year olds)</p> <p>This is as a result of the impact of further inward migration as EU borders open up, increasing demand and a cut in Government funding for school places.</p> <p>The consequences are:</p> <p>1) Significant additional costs incurred in terms of transport.</p> <p>2) Impact on schools in terms of attendance, less engagement from parents and increased churn of pupils when places become available nearer to home - all having a significant impact on outcomes.</p> <p>3) Potential legal action from parents for failure to meet legal requirements</p>	No		<p>1) Keep under review.</p> <p>2) Local and national lobbying (inc EFA capital funding options, inc Free Schools)</p> <p>3) Continue to review options around reducing costs including modular technology, use of existing buildings and procurement savings</p> <p>4) Plans for emergency places being developed including pulling forward schemes and additional mobiles</p> <p>5) Continue to monitor in year admissions and trends around numbers leaving the city (new In Year form being developed to improve data collection)</p>		5	4	Yes	Organisational / Management / Human Factors	Steady	Yes	Brian Howard

15	03/07/18	Safeguarding	<p>There is a risk that safeguarding functions fail to prevent a child or vulnerable adult being placed at significant risk of harm.</p> <p>The consequence is an increase in costs across the public sector, increased fear of crime, reduced confidence in public sector, community tensions and conflict.</p>	No		<p>1) Sustain current improvement journey and adhere to JTAI improvement plan in Children's Services;</p> <p>2) Permanent leadership team recruited to/in place - recruitment and retention package for social workers developed and to be implemented;</p> <p>3) Continuing focus on high priority areas including domestic violence, neglect and child sexual exploitation;</p> <p>4) Practice development emphasising continuing importance of evidencing management oversight and journey of child in work with families</p> <p>Adults:</p> <p>a) Care Act brings significant changes to the role of the SAB and the nature of enquiries to be undertaken. Revised procedures under development including in relation to Large Scale Investigations</p> <p>b) Strengthened Safeguarding Adults board arrangements</p> <p>c) Consultant practitioners in frontline teams</p>	3	5	No	Organisational / Management / Human Factors	Steady	Yes	Wendi Ogle-Welbourn, Will Patten
15	03/07/18	Health Debt - Special Measures	<p>There is a risk that Peterborough's CCG will enter financial "special measures" due to missing its financial controls target. The CCG's current debt to PCC is over £7m. CCG may not be able to reduce the debt owed to PCC.</p> <p>The consequence is financial pressure on PCC and an adverse affect on the future relationship with the CCG.</p>	Yes		<p>CMT to agree policy. Needs to be raised at Health Executive</p> <p>WOW and PC written to CCG Chief Exec and Finance Director requesting payment of Debt.</p> <p>Monthly meetings between finance teams. Position monitored closely. Status reviewed monthly at joint commissioning board.</p>	3	5	No	Economic / Financial/Market	Steady	Yes	Will Patten
15	16/07/18	Lack of financial reserves	<p>There is a risk that, owing to a lack of financial reserves, the council would be unable to respond rapidly to the actions required following a negative Ofsted inspection.</p> <p>The consequences of this would mean a reduction in other budgets in order to fund the Ofsted recommendations.</p>	Yes		CMT to consider as part of budget setting	3	5	Yes	Economic / Financial/Market	Steady	Yes	Peter Carpenter
15	03/07/18	Shared Service	<p>There is a risk that there are financial and service implications from implementing the plan to share services with CCC.</p> <p>The consequences are that this process could result in a reduction in performance and an increase in costs. In addition to this, plans may not deliver the desired benefits and objectives, or meet the timescales required.</p>	Yes		Shared service Programme Board established, meeting monthly. Close monitoring of performance and progress in place.	3	5	Yes	Organisational / Management / Human Factors	Steady	Yes	Wendi Ogle-Welbourn
Resources ■ 3 ■ 11 ■ 1													
PR002415 : Resources BAU ■ 3 ■ 11 ■ 1													
20	09/05/18	"Brexit" impact on EU funding and regulatory framework	<p>There is a Risk that the vote to leave EU impacts procurement arrangements and costs / availability of services. The consequence is uncertainty around EU funding, possible disruptions to the labour market / uncertainty around service demand / provision."</p>	Yes		<p>Consequences of vote UK's decision to leave the UK to be monitored as they emerge</p> <p>Consequences of policy developments / funding changes to be reported to CMT at the earliest opportunity.</p>	4	5	Yes	Political	Steady	Yes	Peter Carpenter
16	09/05/18	Local Government Funding Review outcome & a possibility of losing grant with short notice	<p>There is a risk that the impact of funding review on PCC finances is not currently known. There is a risk that this is adverse. The consequence is a possibility of losing grant with short notice</p>	Yes		Monitor position and evaluate impact at earliest opportunity	4	4	Yes	Strategic / Commercial	Increasing	Yes	Kirsty Nutton

15	09/05/18	Failure to maintain an effective business continuity plan	There is a risk of failure to maintain an effective business continuity plan for all relevant service areas. The consequence is disruption caused by service failure leading to hardship for individuals, potential loss of business and significant reputation damage. Loss of IT Loss of key records Loss of office accommodation.	Yes		Revisit all business continuity plans 1/2 yearly review going forward Establish emergency response toolkit and re-issue		3	5	No	Strategic / Commercial	Steady	Yes	Peter Carpenter
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